



MNsure Grant Services Contract with Tribal Nation Navigator/In-Person Assister Attachment A State of Minnesota

1. MNsure Duties

1.1 Develop and administer a certification and recertification training program and maintain documentation of certification and recertification completion;

1.2 Provide technical assistance to the Tribe;

1.3 Provide MNsure's policies and procedures to the Tribe as new or updated policies and procedures are released;

1.4 Provide the current versions of marketing and communications materials;

1.5 Produce a report on the number of applicants enrolled by the Tribe for evaluation and payment pursuant to Clause 3.2 of this Attachment, no later than 60 days following the end of the reporting period;

1.6 Monitor the Tribe utilizing qualitative and quantitative evaluation tools;

1.7 Provide notification within ten (10) business days of any proposed and final changes to Minnesota Rules Chapter 7700.

2. Tribe Duties. The Tribe, who is not a State employee, shall:

2.1 Facilitate the determination of eligibility for health insurance programs offered through MNsure by providing the following services:

- (a) Inform consumers of health insurance programs and the value of coverage, in addition to reviewing insurance options available through MNsure;
- (b) Inform individuals of application and renewal processes, required documentation, mandated requirements, and any exemption criteria;
- (c) Gauge eligibility through the Minnesota Eligibility Technology System (METS) by assisting applicants with the entry of information and provide referrals to such applicants to appropriate support services or programs for further assistance, such as free health clinics;
- (d) Explain to applicants program eligibility rules and provide them application assistance for Medicaid/Childrens' Health Insurance Plan (CHIP), MinnesotaCare, premium tax credits, cost-sharing reductions, and qualified health plans;
- (e) Assist consumers in complying with their responsibility to report changes that could impact program eligibility, including assisting with the renewal process;
- (f) Provide nonmedical referrals, to the extent possible, according to MNsure referral guidance;

- (g) Facilitate referrals to community organizations, counties, or other appropriate nonprofit or public entities when individuals and families require technical expertise and assistance beyond the scope of this Contract;
- (h) Advise American Indians and Alaskan Natives on benefits specified by the Affordable Care Act, such as cost-sharing reductions, income exclusions, special open enrollment periods, and exemption from minimum health care coverage mandate;
- (i) Answer questions regarding the submission of eligibility verification documentation; and,
- (j) Maintain a physical presence in the MNsure service area so that face-to-face assistance can be provided to applicants and enrollees.

2.2 Facilitate the enrollment in qualified health plans offered through MNsure for individuals eligible to purchase a qualified health plan by providing the following services:

- (a) Explain, discuss, and interpret coverage and policies with consumers to facilitate plan selection;
- (b) Assist with plan comparisons based upon individual priorities, including but not limited to metal tier levels, quality ranges, providers including, but not limited to, specialty care, pharmaceutical, dental and eye care, and total cost estimation including utilization and health status;
- (c) Assist with the entry of information into enrollment tools and resources, including final submission of information;
- (d) Facilitate referrals to brokers for individuals and families enrolling in qualified health plans through MNsure and requesting plan enrollment assistance beyond the scope of this Contract; and,
- (e) If available, provide information and referrals to small employers on enrollment in the Small Business Health Options Program (SHOP) and any tax provisions, including credits and penalties, potentially affecting small employers.

2.3 Provide oversight of all of the Tribe's employees, agents and volunteers engaged in this process, ensuring that they:

- (a) Successfully complete the certification program prior to providing services under this Contract, including training and background check completion;
- (b) Successfully complete the annual recertification process required for continuing to provide services under this Contract;
- (c) Are informed of and comply with all procedures as outlined in MNsure's policy and procedures; and
- (d) Are informed of and comply with the information privacy and security requirements in in Attachment B.

2.4 Maintain a roster of all employees, agents and volunteers who require certification to perform the services outlined in this Contract in a form and manner as determined by MNsure. Provide notification to MNsure without delay and no later than (5) business days when an individual on the Tribe's roster is terminated, separates, or otherwise ceases to perform services under this Contract.

2.5 Disclose to MNsure all affiliations that may present a direct, indirect, or perceived conflict of interest which includes submission of a written attestation that the Tribe is not a health insurance issuer or issuer of stop loss insurance, a subsidiary of a health insurance issuer or issuer of stop loss insurance, or an association that includes members of, or lobbies on behalf of, the insurance industry. The Tribe remains under a continuing obligation to notify MNsure's Authorized Representative identified in Clause 4.1 of the Contract and disclose a potential conflict of interest at any time it may arise but in no case later than five (5) business days of discovery of the conflict.

2.6 If none exists, create and enforce a policy that no compensation, benefit or gift is received directly or indirectly by the Tribe, its employees, subcontractors, agents and volunteers from any health insurance issuer or issuer of stop loss insurance in connection with the enrollment of any individuals or employees in a qualified health plan or a nonqualified health plan as specified in the 45 C.F.R. 155.210(d)(4).

2.7 Maintain expertise in eligibility, enrollment, and program specifications and conduct public education activities.

2.8 Provide referrals to any applicable office of health insurance consumer assistance or health insurance ombudsman established under section 2793 of the Public Health Service Act, or any other appropriate state agency or agencies for any enrollee with a grievance, complaint, or question regarding an enrollee's health plan, coverage, or a determination under such plan or coverage;

2.9 Provide information in a manner that is culturally and linguistically appropriate to the needs of the population being served by MNSure including individuals with limited English proficiency; and ensure accessibility and usability of tools and functions for individuals with limited English proficiency or disabilities according to the Americans with Disabilities Act and section 504 of the Rehabilitation Act.

2.10 Provide targeted assistance to serve underserved or vulnerable populations, as identified by MNSure, within the state of Minnesota.

2.11 Provide information and services in a fair, accurate, and impartial manner.

2.12 Comply with Title VI of the Civil Rights Act of 1964, section 1557 of the Americans with Disabilities Act, and other applicable federal laws and regulations.

2.13 Report any actual or suspected instances or evidence of fraud, waste, or abuse discovered in the course of conducting business with MNSure to the MNSure fraud and ethics anonymous hotline 1-844-466-7873 or email address (mnsurecompliancehotline@mnsure.org) immediately and in no case later than seven (7) business days from discovery.

2.14 Use the current versions of State-provided applications, forms and outreach materials, and adhere to the publicity guidelines in Clause 11 of this Attachment for distribution of any outreach or other materials not provided by MNSure.

2.15 Ensure that consumers are informed, prior to receiving assistance, of the functions and responsibilities of a navigator/in-person assister, including that individuals certified by MNSure are not acting as tax advisors or attorneys when providing assistance under this Contract and cannot provide tax or legal advice within their capacity as a certified navigator/in-person assister in accordance with 45 C.F.R. § 155.210(e)(6)(i).

2.16 Provide authorization in a form and manner as determined by MNSure prior to obtaining access to an applicant's personally identifiable information, and maintain a record of the authorization provided in a form and manner as determined by MNSure in accordance with 45 C.F.R. § 155.210(e)(6)(ii).

2.17 List all certified navigators on the MNSure Assister Directory, except in situations as defined in MNSure's policies and procedures.

2.18 Attempt to provide assistance to all consumers who contact the Tribe or refer them to assistance. Failure to attempt to provide assistance may lead to immediate termination of this Contract consistent with Clause 14.2 of this Attachment.

2.19 The Tribe is prohibited from performing the following activities:

- (a) Performance of services which require licensure under Minnesota Statutes, chapter 60K;
- (b) Charging of any applicant or enrollee, or requesting or receiving any form of remuneration from or on behalf of an individual application or enrollee, for application or other assistance related to duties under this Contract in accordance with 45 C.F.R. § 155.210(d)(5);
- (c) Providing gifts of any value as an inducement for enrollment to an applicant or potential enrollee in accordance with 45 C.F.R. § 155.210(d)(6);

- (d) Using MNsure funds to purchase gifts or gift cards, or promotional items that market or promote the products or services of a third party, that would be provided to any applicant or enrollee in accordance with 45 C.F.R. § 155.210(d)(7);
- (e) Soliciting any consumer for application or enrollment assistance by going door-to-door, using an automatic dialing system, or through other unsolicited means of direct contact, unless the individual has a pre-existing relationship with the individual navigator/in-person assister or Tribe entity in accordance with 45 C.F.R. §§ 155.210(d)(8), 155.210(d)(9); and,
- (f) Providing choice counseling or enrollment services as defined in 42 C.F.R. § 438.810 to individuals who have been determined eligible for Medical Assistance or MinnesotaCare unless otherwise authorized to do so.

2.20 Assist eligible uninsured individuals with completing the application for the temporary program for Medical Assistance (MA) coverage for COVID-19 testing (DHS-7310).

3. Consideration and Payment

3.1 Consideration. The State will pay the Tribe for each successful determination of eligibility for an Insurance Affordability Program or qualified health plan without financial help resulting from the submission of an application through METS, or the successful completion of a renewal for a consumer in a Need to Renew Status, or a qualified health plan enrollment completed through MNsure.

- (a) Compensation. The Contractor will be paid in accordance with the initial payment rate and any subsequent changes to the payment rate as published in the State Register. Effective July 1, 2019, the payment shall be:
 - a. Seventy and 00/100 dollars (\$70.00) per applicant for a successful determination of eligibility or enrollment in a qualified health plan.
 - b. Seventy and 00/100 dollars (\$70.00) per applicant for a successful determination of eligibility for Medical Assistance (MA).
 - c. Seventy and 00/100 dollars (\$70.00) per applicant for a successful determination of eligibility for MinnesotaCare.
- (b) Compensation for COVID-19 Testing application (DHS-7310). The Contractor will be paid twenty-five and 00/100 (\$25.00) per applicant for a successful determination of eligibility for MA COVID-19 testing coverage.

3.2 Payment

- (a) Reports. The State will pay the Tribe based on a State-run report run at least quarterly identifying the number of successful eligibility determinations and enrollments performed by a certified navigator or in-person assister on their roster during the period of time from the date of the previous report or the effective date of the Contract if there is no previous report. The State will pay the Tribe within sixty days of the close of the reporting period.
- (b) Payments are to be made from federal funds obtained by the State according to the following:
 - a. Payment for Medical Assistance and Minnesota Care enrollments will be made from State appropriations and federal funds obtained by the State through Title XIX of the Social Security Act (Public law 113-76 and amendments thereto) Catalog of Federal Domestic Assistance (CFDA) No. 93.778, Medical Assistance Program Award number 1505MN5ADM.

If at any time such funds become unavailable, this grant shall be terminated immediately upon written notice of such fact by the State to the Tribe. In the event of such termination, the Tribe shall be entitled to payment, determined on a pro rata basis, for services satisfactorily performed.

- b. Payment for DHS-7310 application will be made from federal funds obtained by the State through the Coronavirus Aid, Relief, and Economic Security Act (CARES Act), Section 5001, Public Law 116-136 (and any amendments thereto or to the applicable Social

Security Act sections), Catalog of Federal Domestic Assistance (CFDA) No. 21.019, Appropriation H601754. Also see: Minn. Stat. § 4.07, subdivision 3.

Payment for the DHS-7310 is available until funds are no longer available or coverage for COVID-19 testing through the DHS-7310 is no longer available, whichever comes first.

3.3 Conditions of Payment. All services provided by the Tribe under this Contract must be performed to the State's satisfaction, as determined at the sole discretion of the State's Authorized Representative, who is identified in Clause 4 of the Contract, and in accordance with all applicable federal, state, and local laws, ordinances, rules, and regulations including business registration requirements of the Office of the Secretary of State. The Tribe will not receive payment for work found by the State to be unsatisfactory or performed in violation of federal, state, or local law.

4. Third Party Beneficiary. Both parties agree that the Minnesota Department of Human Services ("DHS") shall be, and is hereby, named as an express third-party beneficiary of this Contract, with full rights as such. The Parties specifically acknowledge and agree that DHS has standing to and may take any appropriate action for any appropriate relief in law or equity, including, but not limited to, rescission, damages, or specific performance, of all or any part of this Contract. DHS is entitled to and may recover reasonable attorney's fees and costs and disbursements associated with any action taken under this Section that is successfully maintained.

5. Assignment, Amendments, Waiver, and Contract Complete

5.1 Assignment. The Tribe may neither assign nor transfer any rights or obligations under this Contract without the prior consent of the State all parties and a fully executed assignment agreement, executed and approved by the same parties or their successors in office.

5.2 Amendments. Except where otherwise addressed in Clause 2.1(b) of Attachment B and within this Clause 5.2, any amendment to this Contract must be in writing and will not be effective until it has been executed and approved by the same parties who executed and approved the original Contract, or their successors. The State reserves the right to modify Attachment A and/or Attachment B without amendment as necessary to comply with federal or state law or regulation not addressed within Clause 2.1(b) of Attachment B. The State must provide the Tribe notice of the need to update Attachment A and/or Attachment B within a reasonable time prior to the update being completed. All necessary updates will be posted on the MNSure.org website.

5.3 Waiver. If the State fails to enforce any provision of this Contract, that failure does not waive the provision or the State's right to enforce it.

5.4 Contract Complete. The Contract, this Attachment A and Attachment B contain all negotiations and agreements between the State and the Tribe. No other understanding regarding the Contract and this Attachment A and Attachment B, whether written or oral, may be used to bind either party or the Third Party Beneficiary.

6. Indemnification

The Tribe agrees to indemnify, save and hold MNSure, DHS and the State, its representatives and employees harmless from any and all claims or causes of action, including all attorneys' fees incurred by MNSure, or DHS, and/or the State arising from the performance of this Contract by the Tribe or the Tribe's agents or employees. This clause will not be construed to bar any legal remedies that the Tribe may have for MNSure's or the State's failure to fulfill its obligations pursuant to this Contract. MNSure's and DHS' liability, if any, are governed by Minn. Stat. § 3.736.

7. State Audits

Under Minn. Stat. § 16C.05, subd. 5, the Tribe's books, records, documents, and accounting procedures and practices relevant to this Contract are subject to examination by the State and/or the State Auditor or Legislative Auditor, as appropriate, for a minimum of six (6) years from the end of this

Contract.

Upon request, the Tribe must provide the State with any documentation necessary to facilitate payment for services and to maintain certification requirements.

The Tribe will make its staff available to State during audits and allow state observation of Tribe's MNsure-related activities and training.

8. Intellectual Property

8.1 Intellectual property rights. The State owns all rights, title, and interest in all of the intellectual property rights, including copyrights, patents, trade secrets, trademarks, and service marks in the works and documents created and paid for under this Contract. The "works" means all inventions, improvements, discoveries (whether or not patentable), databases, computer programs, reports, notes, studies, photographs, negatives, designs, drawings, specifications, materials, tapes, and disks conceived, reduced to practice, created or originated by the Tribe, its employees, agents, and subcontractors, either individually or jointly with others in the performance of this Contract. "Works" includes documents. The "documents" are the originals of any databases, computer programs, reports, notes, studies, photographs, negatives, designs, drawings, specifications, materials, tapes, disks, or other materials, whether in tangible or electronic forms, prepared by the Tribe, its employees, agents, or subcontractors, in the performance of this Contract. The documents will be the exclusive property of the State and all such documents must be immediately returned to the State by the Tribe upon completion or cancellation of this Contract. To the extent possible, those works eligible for copyright protection under the United States Copyright Act will be deemed to be "works made for hire." The Tribe assigns all right, title, and interest it may have in the works and the documents to the State. The Tribe must, at the request of the State, execute all papers and perform all other acts necessary to transfer or record the State's ownership interest in the works and documents.

8.2 Obligations.

- (a) **Notification.** Whenever any invention, improvement, or discovery (whether or not patentable) is made or conceived for the first time or actually or constructively reduced to practice by the Tribe, including its employees and subcontractors, in the performance of this Contract, the Tribe will immediately give the State's Authorized Representative written notice thereof, and must promptly furnish the State's Authorized Representative with complete information and/or disclosure thereon.
- (b) **Representation.** The Tribe must perform all acts, and take all steps necessary to ensure that all intellectual property rights in the works and documents are the sole property of the State, and that neither Tribe nor its employees, agents, or subcontractors retain any interest in and to the works and documents. The Tribe represents and warrants that the works and documents do not and will not infringe upon any intellectual property rights of other persons or entities. Notwithstanding Clause 9 of this Attachment, the Tribe will indemnify; defend, to the extent permitted by the Attorney General; and hold harmless the State, at the Tribe's expense, from any action or claim brought against the State to the extent that it is based on a claim that all or part of the works or documents infringe upon the intellectual property rights of others. The Tribe will be responsible for payment of any and all such claims, demands, obligations, liabilities, costs, and damages, including but not limited to, attorney fees. If such a claim or action arises, or in the Tribe's or the State's opinion is likely to arise, the Tribe must, at the State's discretion, either procure for the State the right or license to use the intellectual property rights at issue or replace or modify the allegedly infringing works or documents as necessary and appropriate to obviate the infringement claim. This remedy of the State will be in addition to and not exclusive of other remedies provided by law.
- (c) **License Reserved for U.S. Department of Health and Human Services.** Because federal funds are used in funding this Contract, in accord with 45 C.F.R., sec. 92.34, for Works and Documents created and paid for under this Contract, the U.S. Department of Health and Human Services will have a royalty free, non-exclusive, perpetual and irrevocable right to

reproduce, publish, or otherwise use, and to authorize others to use, the Works or Documents created and paid for under this Contract for federal government purposes.

9. Workers Compensation

Tribe certifies that it is in compliance with Minn. Stat. §176.181, Subd. 2, pertaining to workers' compensation insurance coverage. Tribe's employees and agents will not be considered State employees. Any claims that may arise under the Minnesota Workers' Compensation Act on behalf of these employees and any claims made by any third party as a consequence of any act or omission on the part of these employees are in no way the State's obligation or responsibility.

10. Debarment by Federal, State, Departments, Commissions, Agencies, or Political Subdivisions

10.1 Tribe certifies that neither it nor its principals is presently debarred or suspended by the State, or any of its departments, commissions, agencies, or political subdivisions. Tribe's certification is a material representation upon which the Contract award was based. Tribe shall provide immediate written notice to the State's Authorized Representative if at any time it learns that this certification was erroneous when submitted or becomes erroneous by reason of changed circumstances.

10.2 Federal money will be used or may potentially be used to pay for all or part of the work under the Contract, therefore Tribe certifies that it is in compliance with federal requirements on debarment, suspension, ineligibility and voluntary exclusion specified in the solicitation document implementing Executive Order 12549.

11. Publicity and Endorsement

11.1 Publicity. The Tribe may use MNSure and State-created materials. MNSure provides its express approval for Tribe to use the MNSure Partner Badge in relation to activities arising out of the Contract and in accordance with MNSure's policies and procedures. The MNSure Partner Badge is provided to the Tribe by MNSure upon request.

11.2 Endorsement. The State will publicly identify the Tribe as a MNSure Community Assistance Partner, but does not endorse Tribe's products or services. Tribe must not claim any endorsement by the State.

12. Data Disclosure

Under Minn. Stat. §§ 270B.09, 270C.65, subd. 3, 270C.66 and other applicable law, the Tribe consents to disclosure of its social security number, federal employer tax identification number, and/or Minnesota tax identification number. Identification numbers may be used in the enforcement of federal and state laws which could result in action requiring the Tribe to file state tax returns, pay delinquent state tax liabilities, if any, or pay other state liabilities.

13. Termination

13.1 Termination by either Party. Either party may terminate this Contract at any time, with or without cause, upon thirty (30) days' written notice to the other party. Upon termination, the Tribe will be entitled to payment, determined on a pro rata basis, for services satisfactorily performed.

13.2 Termination for Cause. The State may immediately terminate this Contract if the State finds that there has been a failure to comply with the provisions of this Contract, that reasonable progress has not been made or that the purposes for which the funds were granted have not been or will not be fulfilled. The State may take action to protect the interests of the State of Minnesota, including the refusal to disburse additional funds and requiring the return of all or part of the funds already disbursed.

13.3 Termination for Insufficient Funding. The State may immediately terminate this Contract if it does not obtain funding from the Minnesota Legislature, or other funding source; or if funding cannot be continued at a level sufficient to allow for the payment of the services covered here. Pursuant to Clause 3.2(b) of this Attachment the qualified health plan, Medical Assistance program, and MinnesotaCare program are individually and independently funded from different sources. Insufficient funding for a specific compensation program as identified in Clause 3.2(b) of this Attachment and this Clause will be sufficient to terminate compensation for that specific program. Termination must be by written, fax, or e-mail notice to the Tribe. The State is not obligated to pay for any services that are provided after notice and effective date of termination. However, the Tribe will be entitled to payment, determined on a pro rata basis, for services satisfactorily performed to the extent that funds are available. The State will not be assessed any penalty if the Contract is terminated because of the decision of the Minnesota Legislature, or other funding source, not to appropriate funds. The State must provide the Tribe notice of the lack of funding within a reasonable time of the State receiving that notice.

14. Non-discrimination

The Tribe shall comply with the Indian Civil Rights Act of 1968. The Tribe also agrees to comply with the Indian Self-Determination and Education Assistance Act, which provides for preferential employment and training of Indians in programs serving Indians.

15. Counterparts

This Contract may be executed in counterparts, each of which shall be deemed to be an original, but all of which, taken together, shall constitute one and the same agreement.